



Privacy Policy

ASIC & AFSL Compliance Ready

1. Purpose

First Point Wealth Management Pty Ltd (“FPWM”, “we”, “our”, or “us”) is committed to protecting the privacy and confidentiality of personal information entrusted to us by clients, prospective clients, employees, authorised representatives, contractors, and stakeholders.

This Privacy Policy outlines how FPWM collects, holds, uses, discloses, stores, and protects personal information in accordance with the Privacy Act 1988 (Cth), Australian Privacy Principles, ASIC regulatory expectations, AML/CTF obligations, and the Corporations Act 2001 (Cth).

2. What Personal Information We Collect

FPWM may collect identification information, financial information, sensitive information where required, and electronic information necessary to provide financial services and comply with legal obligations.

This may include:

- Name and contact details
- Date of birth
- Identification documents
- Financial and investment information
- Superannuation and trust details
- Banking information
- Email correspondence and website analytics

3. How We Collect Personal Information

FPWM may collect personal information directly from clients, onboarding forms, financial planning discussions, emails, website enquiries, financial institutions, service providers, AML/KYC processes, and publicly available sources.

4. Why We Collect Personal Information

FPWM collects, uses, and stores personal information for purposes including:

- Providing financial advice and investment services
- Meeting legal and regulatory obligations
- AML/KYC verification
- Account administration

- Compliance and risk management
- Communication and client servicing
- Internal business administration

5. Disclosure of Personal Information

FPWM may disclose personal information to product issuers, custodians, brokers, accountants, legal advisers, compliance consultants, IT providers, regulators, auditors, and government authorities where required by law or reasonably necessary for providing services.

6. Overseas Disclosure

Some third-party technology and cloud service providers may process or store information overseas. FPWM takes reasonable steps to ensure appropriate privacy protections are maintained.

7. Data Security & Storage

FPWM maintains reasonable security measures including password protection, encryption, secure cloud storage, multi-factor authentication, restricted access controls, staff confidentiality obligations, and secure destruction procedures.

8. Website & Cookies

FPWM's website may use cookies and analytics tools to improve user experience and website functionality. Users may disable cookies through browser settings if preferred.

9. Direct Marketing

FPWM may provide marketing communications regarding financial services, market updates, and business information where permitted by law. Individuals may opt out at any time.

10. Access & Correction

Individuals may request access to or correction of personal information held by FPWM by contacting the Privacy Officer in writing.

11. Privacy Complaints

Privacy complaints may be directed to:

Privacy Officer
First Point Wealth Management Pty Ltd
Email: info@firstpointwm.com.au

FPWM will investigate complaints promptly and aim to resolve complaints within 30 days.

12. Notifiable Data Breaches

FPWM maintains procedures to identify, assess, and respond to eligible data breaches in accordance with the Notifiable Data Breaches Scheme under the Privacy Act 1988 (Cth).

13. Governance & Review

Responsibility for oversight of this policy rests with the Directors and Responsible Managers of FPWM.

This policy will be reviewed annually or earlier where required by regulatory, operational, or legislative changes.

Document Control

Version	Date	Approved By	Review Frequency
6.0	24 January 2026	Sam Robson	Annual

Sam Robson
Managing Director
First Point Wealth Management